

## Anticorruption Event - Fall 2017

### “The State of Anticorruption Efforts: Lessons from Latin America”

*November 16<sup>th</sup>, 2017: 4.30pm – 7.00pm*

*Sterling Law Building: Room 124*

The event will analyze the state of anticorruption efforts in Latin America, looking at cases and factors that may contribute to better outcomes, and debating how much the new developments are sustainable or the product of critical junctures.

In the region, many countries, corruption became a permanent topic on the public agenda. A growing frustration with the pervasiveness of corruption has arisen in Latin America, casting doubt on the resilience of democratic regimes that have not controlled the use of bribery, graft and kickbacks in the public and private sectors. Although the region has experienced sequential scandals in the last several years, there is an optimistic view that, this time, the outcome will be different. Commentators are highlighting new factors which seem to be coming into play: higher engagement of civil society and the media; new technological tools; improved national legal frameworks to deal with corruption, as well as acceptance of international anti-corruption treaties; bold actions taken by prosecutors and the judiciary, using newly strengthened laws; and growing international cooperation in both investigations and asset recovery.

- **Format:**

The event mainly targets the Yale community, especially the Yale Law School. There will be two panels of one hour each with experts from different backgrounds discussing the current state of anticorruption efforts in Latin America and the lessons that can be drawn from them.

- **Speakers & Organizers:**

Panel 1: Prof. Susan Rose-Ackerman, Professor Paul Lagunes and Professor Kevin Davis

Panel 2: Roberto de Michele, Estelita Haas and José Luis Chicoma

Organizers: Prof. Susan Rose-Ackerman, Raquel de Mattos Pimenta and Catherine Greene.

[See Annex I for Bios]

- **Schedule:**

4.30pm – **Opening words** (Prof. Rose-Ackerman)

4.35pm – **Panel I: The state of corruption studies in Latin America**

Prof. Paul Lagunes: “Stewards of Accountability – A Field Experiment on Corruption & Inefficiency in Local Public Works” (15 minutes)

Prof. Kevin Davis: “When Corporate Sanctions for Corruption become Excessive” (15 minutes)

Prof. Susan Rose-Ackerman: “Corruption in Latin America Today—Distinctive or the ‘Same Old Story’?” (15 minutes)

Q&As (20 minutes) – Moderator: Raquel de Mattos Pimenta

5.40pm – **Coffee break**

5.55pm – **Panel II: Promises and Pitfalls in Latin American Anti-Corruption Efforts**

Roberto de Michele: “Corruption in Latin America: Economic Impact, Trends and Challenges” (15 minutes)

Estelita Haas: “Operation Car Wash in Brazil and its Unfolding” (15 minutes)

José Luis Chicoma: “The Role of Civil Society in the Fight Against Corruption in Mexico” (15 minutes)

Q&As (20 minutes) – Moderator: Catherine Greene

7.00pm – **Closing words** (Prof. Rose-Ackerman)

## Annex I – Mini bios

- **Professor Susan Rose-Ackerman (Henry R. Luce Professor of Jurisprudence, Law School and Department of Political Science - Yale University):** is the author of *Comparative Administrative Law* (2nd edition, with Peter Lindseth and Blake Emerson, 2017), *Corruption and Government: Causes, Consequences and Reform* (1999, 2d edition with Bonnie Palifka, 2016), *Due Process of Lawmaking: The United States, South Africa, Germany, and the European Union* (with Stefanie Egidy and James Fowkes, 2015); *From Elections to Democracy: Building Accountable Government in Hungary and Poland* (2005); *Controlling Environmental Policy: The Limits of Public Law in Germany and the United States* (1995); *Rethinking the Progressive Agenda: The Reform of the American Regulatory State* (1992); and *Corruption: A Study in Political Economy* (1978). She holds a Ph.D. in economics from Yale University and has published widely in the fields of law, economics, and public policy. Her research interests include comparative regulatory law and policy, the political economy of corruption, public policy and administrative law, and law and economics.

- **Paul Lagunes** has a Ph.D. in Political Science from Yale University and is Assistant Professor at Columbia University's School of International and Public Affairs. His research on the political economy of development examines the issue of corruption, especially as it affects subnational governments in the Americas. Two questions motivate Lagunes's scholarship: how does corruption work in practice? and, what are the tools available for limiting corruption's harmful effects? Mainly through the execution of randomized control trials in diverse contexts, such as Peru and Mexico, Lagunes offers insights on corruption's regressive impact on society, the factors maintaining a corrupt status quo, and the conditions under which anti-corruption monitoring is most effective. During 2016-2017 he served as a Visiting Scholar at the Inter-American Development Bank.

- **Kevin Davis** is the Beller Family Professor of Business Law at NYU School of Law. He was trained in law at the University of Toronto, holds a BA in economics from McGill University and an LLM from Columbia University. He is well respected within the Law & Development field, having published important works on regulation of foreign corrupt practice act. His current research is focused on contract law, anticorruption law, and the general relationship between law and economic development.

- **Roberto de Michele** is the Modernization of the State Principal Specialist at the Inter-American Development Bank, leading the Bank's financial transparency program. He has participated in law-drafting processes on these matters in many Latin American countries, as well as in the creation of administrative regulations and agencies focused on anti-money laundering and anti-corruption issues. Currently, he's supervising a research project aimed at identifying the connection between corruption scandals and private rating agencies scores that affect the sovereign rate. In the past he was the Manager of Fundación Poder Ciudadano and the Director of Policy Planning in the Argentine Anti-Corruption Office. He was trained in law at the University of Buenos Aires and holds an LL.M. from Yale School.

- **Estelita Hass Carazzai** is a reporter from “Folha de S.Paulo”, the most influential Brazilian daily newspaper, currently working as an international correspondent in Washington, DC. Over the last three years, she covered Car Wash Operation, a sweeping investigation into corruption in Brazil’s government, that ultimately prompted the impeachment of president Dilma Rousseff and lead to unprecedented political turmoil. Based in the city of Curitiba, where the headquarters of the investigation were located, Carazzai followed the inquiry in detail.

- **José Luis Chicoma** is the executive director of Ethos Public Policy Lab, a Mexican think tank recognized as one of the most innovative and relevant in Latin America. Over the last five years, he has spearheaded a wide range of projects—including research and public policy proposals, hackathons, and storytelling through comics—which promote innovation, new instruments for public-private collaboration, government transparency, and anticorruption efforts. He was named by the prestigious Semana Economica magazine as one of the 25 people under 45 that will change the Peruvian economy. Previously, he held various high-level positions in the Peruvian public sector, including as Vice Minister of Small and Medium Enterprises and Industries, Director of Export Promotion for PROMPERU, and Advisor to the Minister of Foreign Trade and Tourism. Chicoma has lectured on innovation, competitiveness and public management in various universities and contributes to Latin American magazines and newspapers such as Letras Libres, PODER, América Economía and Animal Político. He has served as president of the National Pisco Commission and Innovate Peru, and member of several boards from the public sector and civil society. He holds a

master's in public policy from Harvard University and a BA in economics from Universidad del Pacífico.

- **Catherine Greene (LLM candidate - Yale Law School):** is a lawyer from Argentina who has vast experience on anti-money laundering, asset disclosure, and anti-corruption issues. She has spent almost three years at the Financial Market Integrity Global Practice at the World Bank, working on asset disclosure implementation and beneficial ownership; followed by her consultancy work at the Inter-American Development Bank and its Transparency Fund on matters related to financial transparency. Besides her LL.M. studies at Yale Law School, she is currently working on the final details of her Master's thesis on corporate responsibility for corruption cases, taking a comparative approach between the Argentine, Chilean and Brazilian cases. She was a founding member of the first Latin American Journal on International Law (*Revista Latinoamericana de Derecho Internacional*), and a participant on the First Latin-American Congress of Ethics, Transparency and Anti-Corruption Compliance (Buenos Aires, 2012).

- **Raquel de Mattos Pimenta (Visiting Researcher - Yale Law School):** is Fulbright Visiting Researcher at Yale Law School. She is a Ph.D. candidate at University of São Paulo (USP) Law School (Brazil) focusing on coordination of public authorities in anti-corruption policies. She holds an LLB (with a mobility year at Sciences Po - Paris) and a Msc of Law from the same institution, having written on law and public policies, regulation and antitrust. At USP, she also coordinates the research group "Law and Poverty" (*Grupo Direito e Pobreza*). She is a director of the Brazilian think-tank ID Global (*Instituto de Direito Global*) and previously, she worked as a lawyer in antitrust, corporate governance and compliance programs.